

A 401411



THE UNITED STATES OF AMERICA

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United States Patent and Trademark Office

April 24, 2001

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M. Lee

M. LEE
Certifying Officer

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10-1619A-U

RECORD

04-06-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT

TO: The Commissioner of Patents and Trade
Please record the attached original document

101632409

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SUBMISSION TYPE

- ☒ New
☐ Resubmission (Non-Recordation)
Document ID# _____
☐ Correction of PTO Error
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CONVEYANCE TYPE

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☒ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Department File ☐ Secret File

CONVEYING PARTY(IES): (Last name first)

HERRO, Lewis M.
FISHER, Richard Y.

Execution Date
March 28, 2001
March 28, 2001

Mark if additional names of conveying parties attached ☐

RECEIVING PARTY:

Name: American Energy Group, Inc.
Address: 1591 Fifth Avenue
City: Grafton,
State: Wisconsin
Zip Code: 53024

Mark if additional names of receiving parties attached ☐

APPLICATION NUMBER(S) OR PATENT NUMBER(S)

Mark if additional numbers attached ☐

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named inventor: 00/00/00

Patent Application Number(s):

09/377,121; filed August 19, 1999

Patent Number(s):

TOTAL NUMBER OF PROPERTIES: Enter the total number of properties involved: one

PATENT COOPERATION TREATY (PCT):

Enter PCT application number only if a U.S. Application Number has not been assigned:

NUMBER OF PAGES:

Enter the total number of pages contained in the conveyance document including any attachment(s). DO NOT include the Recordation Form Cover Sheet pages in this total.

Six

CORRESPONDENT NAME AND ADDRESS:

Rockey, Milnamow & Katz, Ltd.
Two Prudential Plaza
180 North Stetson Avenue, Suite 4700
Chicago, Illinois 60601
(312) 616-5400

FEE AMOUNT:

Total Fee (37 CFR 3.41) _____

- ☐ Enclosed
☒ Charge to Deposit Account 04-1644
☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account 04-1644.

STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as herein indicated.

Kathleen A. Lyons
Reg. No. 31,852

Name of Person Signing

Kathleen A. Lyons
Signature

April 4, 2001

Date

PATENT

Assignment

Serial No.: 09/377,121

Filed: August 19, 1999

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in **DIESEL FUEL ADDITIVE COMPOSITION AND METHOD FOR THE TREATMENT OF DIESEL FUELS** and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to **American Energy Group, Inc.**, a **Wisconsin** corporation, having offices at and the heirs, successors, legal representatives and assigns of **American Energy Group, Inc.** (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said considerations it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said considerations, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony.

No.: 09/377,121

Filed: August 19, 1999

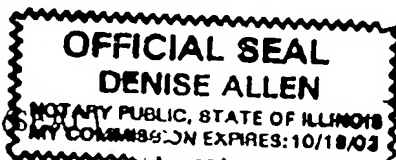
Lewis M. Herro...

Lewis M. Herro

Executed this 28th day of March, 2001.

State of ILLINOIS)
County of COOK) ss.

On 28 MARCH, 2001, Lewis M. Herro appeared before me, a Notary Public, in and for the State and County aforesaid, and acknowledged that he/she freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.



Denise Allen

Notary Public

My Commission Expires: 10/18/03

Richard Fisher

Richard Fisher

Executed this 28th day of March, 2001.

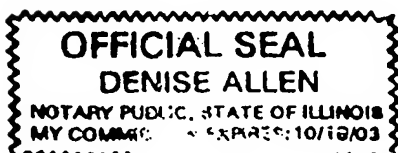
State of ILLINOIS)
County of COOK) ss.

On 28 March, 2001, Richard Fisher appeared before me, a Notary Public, in and for the State and County aforesaid, and acknowledged that he/she freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.

Denise Allen

Notary Public

My Commission Expires: 10/18/03



(SEAL)

09/377,121

Filed: August 19, 1999

* * * * *

* * * * *

Edward T. Jones

Executed this _____ day of _____, 2001.

State of _____)
County of _____) ss.

On _____, 2001, Edward T. Jones appeared before me, a Notary Public, in and for the State and County aforesaid, and acknowledged that he/she freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.

(SEAL)

Notary Public

My Commission Expires:

* * * * *



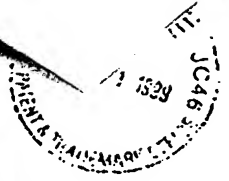
AFFIDAVIT OF EDWARD T. JONES
PRESIDENT, NUTECH ENGINEERING INTERNATIONAL, INC.

OZAUKKE COUNTY)
) ss.
WISCONSIN)

I, Edward T. Jones, being duly sworn under oath, state and depose as follows:

1. I am presently the President of Nutech Engineering International, Inc. ("Nutech"), and was the President of Nutech on March 31, 1998.
2. On or about March 31, 1998, Nutech sold various of its assets to American Energy Group, Inc., a Wisconsin corporation ("AEG"). Included in the assets which Nutech sold to AEG were all right, title and interest in and to the formulae for and other intellectual property related to the products enumerated in Exhibit A, attached hereto (the "Formulae"). Among these products were four fuel additives which are listed below and which are also identified by the United States Environmental Protection Agency ("the EPA") internal identification numbers listed below:


1765-0001 Pro-Motion Gasoline Treatment
1765-0001 Centron Gasoline Treatment
1765-0002 Pro-Motion Diesel Fuel Treatment
1765-0002 Centron Diesel Fuel Treatment
3. As President of Nutech and on its behalf as the manufacturer, I previously registered some or all of the Formulae with the EPA as fuel additives.
4. Sometime after March 31, 1998, I obtained registration of some or all of the Formulae on behalf of AEG pursuant to a supplemental notification.
5. Neither I nor Nutech claims any further interest in the Formulae and AEG is entitled to access to any and all confidential information regarding the Formulae which the EPA may have or which may have been filed in connection with any prior notification with respect to the Formulae made by Nutech, or in connection with any information provided by Nutech which was supplemental to such notification.



6. AEG or its designee is the sole entity entitled to access to the information described in paragraph 5 above.
7. I am not an officer or director of AEG and have no authority to act on its behalf.
8. I am giving this Affidavit for the purpose of enabling AEG and its authorized representatives to gain and have exclusive access to all information provided to the EPA, and other regulatory agency or body, by Nuzsch with respect to the Formulas.


Edward T. Jones

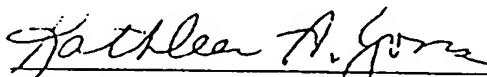
Subscribed and Sworn to before me
this 28 day of January, 1999.


Notary Public, State of Wisconsin, Door County
My Commission Expires 30 May 1999

ILLINOIS)
)
COUNTY OF COOK)

I, Kathleen A. Lyons, a Patent Attorney, being first duly sworn on oath, depose and state that the attached is a true and exact copy of the Affidavit of Edward T. Jones relinquishing all right, title and interest in and to the formulae for and other intellectual property related to the invention in respect of U.S. Serial No. 09/377,121 filed August 19, 1999 from Edward T. Jones to American Energy Group, Inc.

Signed at Chicago, Illinois this 28th day of March, 2001.

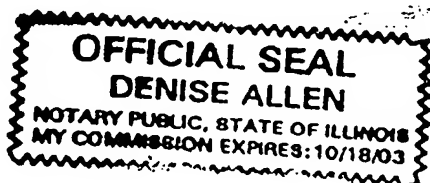

Kathleen A. Lyons

STATE OF ILLINOIS)
)
COUNTY OF COOK)

On the 28th day of March, 2001, before me appeared the above-named, Kathleen A. Lyons, a Patent Attorney.


Notary Public

(Seal)





MAY 06, 1999

PTAS

ROCKEY, MILNAMOW & KATZ, LTD.
KATHLEEN A. LYONS
TWO PRUDENTIAL PLAZA, 180 NORTH STETSON
SUITE 4700
CHICAGO, IL 60601

AME 1255 100.000
KUR, KAL
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



100962469A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/01/1999

REEL/FRAME: 9744/0385
NUMBER OF PAGES: 3

BRIEF: AFFIDAVIT

ASSIGNOR:

JONES, EDWARD T.

DOC DATE: 01/25/1999

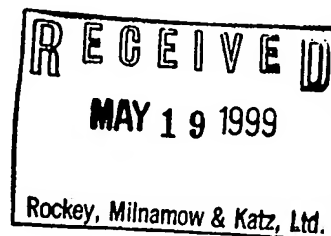
ASSIGNEE:

AMERICAN ENERGY GROUP, INC.
1221 EAST DONGES COURT
MILWAUKEE, WISCONSIN 53217

SERIAL NUMBER: 09156420
PATENT NUMBER:

FILING DATE: 09/18/1998
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



02-10-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

100962469

To the Honorable Commissioner of Patent

Attached original documents or copy thereof

1. Name of conveying party(ies):
EDWARD T. JONES

MLP
2-1-99

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other Affidavit

Execution Date: January 25, 1999

2. Name and address of receiving party(ies):

Name: American Energy Group, Inc.

Address: 1221 East Donges Court

City: Milwaukee State/Prov.: WI

Country: U.S. ZIP: 53217

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/156,420

September 18, 1998

2/05/1999 AIRMAIL 00000042 09156420

2 FC:501

40.00

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen A. Lyons

Registration No. 31,852

Address: Rockey, Milnamow & Katz, Ltd.

Two Prudential Plaza, 180 North Stetson

Suite 4700

City: Chicago State/Prov.: IL

Country: U.S. ZIP: 60601

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-1644

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen A. Lyons

Name of Person Signing

Kathleen A. Lyons

Signature

January 28, 1999

Date

Total number of pages including cover sheet, attachments, and

5



AFFIDAVIT OF EDWARD T. JONES
PRESIDENT, NUTECH ENGINEERING INTERNATIONAL, INC.

OZAUKEE COUNTY)
) ss.
WISCONSIN)

I, Edward T. Jones, being duly sworn under oath, state and depose as follows:

1. I am presently the President of Nutech Engineering International, Inc. ("Nutech"), and was the President of Nutech on March 31, 1998.
2. On or about March 31, 1998, Nutech sold various of its assets to American Energy Group, Inc., a Wisconsin corporation ("AEG"). Included in the assets which Nutech sold to AEG were all right, title and interest in and to the formulae for and other intellectual property related to the products enumerated in Exhibit A, attached hereto (the "Formulae"). Among these products were four fuel additives which are listed below and which are also identified by the United States Environmental Protection Agency ("the EPA") internal identification numbers listed below:

1765-0001	Pro-Motion Gasoline Treatment
1765-0001	Centron Gasoline Treatment
1765-0002	Pro-Motion Diesel Fuel Treatment
1765-0002	Centron Diesel Fuel Treatment
3. As President of Nutech and on its behalf as the manufacturer, I previously registered some or all of the Formulae with the EPA as fuel additives.
4. Sometime after March 31, 1998, I obtained registration of some or all of the Formulae on behalf of AEG pursuant to a supplemental notification.
5. Neither I nor Nutech claims any further interest in the Formulae and AEG is entitled to access to any and all confidential information regarding the Formulae which the EPA may have or which may have been filed in connection with any prior notification with respect to the Formulae made by Nutech, or in connection with any information provided by Nutech which was supplemental to such notification.

6. AEG or its designee is the sole entity entitled to access to the information described in paragraph 5 above.
7. I am not an officer or director of AEG and have no authority to act on its behalf.
8. I am giving this Affidavit for the purpose of enabling AEG and its authorized representatives to gain and have exclusive access to all information provided to the EPA, and other regulatory agency or body, by Nurech with respect to the Formulae.



Edward T. Jones

Subscribed and Sworn to before me
this 22 day of January, 1999.


George E. Bodenheimer
Notary Public, State of Wisconsin, Door County
My Commission Expires 30 May 1999